PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/613.915 TRANSMITTAL 07-02-2003 Filing Date **FORM** First Named Inventor Mitch Randall (to be used for all correspondence after initial filing) Art Unit Not Yet Known **Examiner Name** Not Yet Known Total Number of Pages in This Submission Attorney Docket Number 23104-001 ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Petition Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): **Return Postcard** Request for Refund Express Abandonment Request __ CD, Number of CD(s) __ Information Disclosure Statement Certified Copy of Priority Remarks Document(s) It is believed that no fees are due in this matter. However, if it is determined that Response to Missing Parts/ fees are due, the Commissioner is authorized to debit Deposit Account No. Incomplete Application 03-1725 for the required fees. Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm mes R. Young 27,847 Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal

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Typed or printed name Terrie Quillin

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Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Stephen M. Reinke, et al

WEB WINDING APPARATUS HAVING TRAVELING GIMBALED CINCH ROLLER AND WINDING METHOD

Serial No. 10/637,198

Filed 08 August 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA. 22313-1450 Group Art Unit: To Be Assigned

Examiner: To Be Assigned

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Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the abovedescribed application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. A full text copy of each document is attached, except for copies of U.S. patents and U.S. patent application publications. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

I.	X	This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination
II.		This Statement is being filed under §1.97(c), with fee, prior to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is

III.		This Statement is being filed under §1.97(c), with a certification under, §1.97(e) prior to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):				
	1	each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.				
		no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.				
IV.		This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):				
		each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.				
		no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.				

Respectfully submitted,

Robert Luke Walker/amb Telephone: (585) 588-2739 Facsimile: (585) 477-1148

Enclosures

Attorney for Applicants Registration No. 30,700

Sheet 1 of 1 Atty. Docket No. FORM PTO-1449 US DEPARTMENT OF COMMERCE Serial No. 10/637,198 PATENT AND TRADEMARK OFFICE 86445RLW Customer No. 01333 If AFTER the later date of the first Only Applicant: Stephen M. Reinke, et al or 3 months from filing, use only with Rule 97(E) Certificate or Fee LIST OF ART CITED BY APPLICANT Filing Date 08 August 2003 To Be Assigned (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** DOCUMENT NUMBER DATE CLASS SUBCLASS FILING DATE Examiner IF APPROPRIATE Initial* W. T. Selsted 2,989,265 06-20-1961 3,712,554 Lorenzini et al. 01-23-1973 Welch et al. 4,441,663 04-10-1984 4,697,755 10-06-1987 Kataoka 5,248,107 09-28-1993 Sumida et al. 5,256,232 10-26-1993 Fuss et al. 11-25-1997 5,690,264 Distefano et al. FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION Initial*

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
EXAMINER	DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

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Under the Paperwork Contains a valid OMB control number.

Substitut	te for form 1449A/P	то	O G TOWN	Complete if Known			
				Application Number	10/613,915		
INFO	DRMATION	N DIS	CLOSURE	Filing Date	07-02-2003	· · · · · · · · · · · · · · · · · · ·	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Mitch Randall		
				Art Unit	Not Yet Known		
	(Use as many s	heets as	necessary)	Examiner Name	Not Yet Known		
Sheet	1	of	1	Attorney Docket Number	23104-001		

U.S. PATENT DOCUMENTS						
Examiner	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials *		Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document		
	1	US-2001/0054518	12-27-2001	Buehler et al.		
	2	US- 2,827,735	03-25-1958	Grimm, Jr.		
	3	US- 3,331,463	07-18-1967	Kramer		
	4	US- 4,503,924	03-12-1985	Bartholet et al.		
	5	US- 4,527,650	07-09-1985	Bartholet		
	6	US- 4,629,440	12-16-1986	McKittrick, Jr., et al.		
	7	US- 4,662,465	05-05-1987	Stewart		
	8	US- 4,738,583	04-19-1988	Macconochie et al.		
	9	US- 5,040,626	08-20-1991	Paynter		
	10	US- 5,121,805	06-16-1992	Collie		
	11	US- 5,124,918	06-23-1992	Beer et al.		
	12	US- 5,423,708	06-13-1995	Allen		
	13	US- 5,762,153	06-09-1998	Zamagni		
	14	US- 5,857,533	01-12-1999	Clewett		
	15	US- 6,238,264	05-29-2001	Kazami et al.		
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆	
Initials*		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)		Applicant of Cited Document			
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Examiner Signature	 Date Considered	

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